



**SBI Capital Markets Limited (SBICAP Group)**

**Corrigendum – 2 dated 28<sup>th</sup> March 2022**

**Request for Proposal: RFP No. RFP/IS-01/2022**

**Managed Services for**

**Security Operations Centre (SOC) -  
Security Information and Event Management (SIEM),  
Security Orchestration, Automation and Response (SOAR) &  
User and entity Behavior Analytics (UEBA)**

SBI Capital Markets Limited & Group companies (SBICAP Group)

202, Maker Tower - E, Cuffe Parade, Mumbai 400 005

<b>CORRIGENDUM No-2 dated 28/03/2022: RFP No. RFP/IS-01/2022 dated 8th March 2022</b>				
<b>SI. No</b>	<b>RFP Page No</b>	<b>RFP Clause No.</b>	<b>Existing Clause</b>	<b>Revised Clause</b>
1	2	Pre-Bid Queries on email / Corrigendum	23 <sup>rd</sup> March, 2022	30 <sup>th</sup> March, 2022
2	2	Online Technical & Commercial Bid submission	4 <sup>th</sup> April 2022 - 14:00 Hrs.	7 <sup>th</sup> April 2022 - 14:00 Hrs.
3	2	Technical Bid Opening – Online	4 <sup>th</sup> April 2022 - 15:00 Hrs.	7 <sup>th</sup> April 2022 - 15:00 Hrs.
4	2	Technical Bid Evaluation, Product walkthrough & Approach methodology presentation of shortlisted Service Providers	5 <sup>th</sup> April 2022 to 8 <sup>th</sup> April 2022 (tentative schedule)	8 <sup>th</sup> April 2022 to 13 <sup>th</sup> April 2022 (tentative schedule)
5	2	Commercial Bid Opening - Online	8 <sup>th</sup> April 2022 - 1600:00 Hrs. (tentative)	13 <sup>th</sup> April 2022 - 1600:00 Hrs. (tentative)
6	4	1.1 Background	iv) SBICAP Securities Limited (SBICAP Group) - Marathon Futurex, 12th Floor, A Wing, N. M. Joshi Marg, Near Curry Road Station, Lower Parel East, Mumbai – 400013.	iv) SBICAP Securities Limited (SSL) - Marathon Futurex, 12th Floor, A Wing, N. M. Joshi Marg, Near Curry Road Station, Lower Parel East, Mumbai – 400013.
7	6	Pre-Bid Queries on	23 <sup>rd</sup> March, 2022	30 <sup>th</sup> March, 2022

		email / Corrigendum		
8	6	Online Technical & Commercial Bid submission	4 <sup>th</sup> April 2022 - 14:00 Hrs.	7 <sup>th</sup> April 2022 - 14:00 Hrs.
9	6	Technical Bid Opening – Online	4 <sup>th</sup> April 2022 - 15:00 Hrs.	7 <sup>th</sup> April 2022 - 15:00 Hrs.
1	6	Technical Bid Evaluation, Product walkthrough & Approach methodology presentation of shortlisted Service Providers	5 <sup>th</sup> April 2022 to 8 <sup>th</sup> April 2022 (tentative schedule)	8 <sup>th</sup> April 2022 to 13 <sup>th</sup> April 2022 (tentative schedule)
1	6	Commercial Bid Opening - Online	8 <sup>th</sup> April 2022 - 1600:00 Hrs. (tentative)	13 <sup>th</sup> April 2022 - 1600:00 Hrs. (tentative)
1	10	2. RFP Process	<b>Confidentiality</b> This document contains information confidential and proprietary to SSL. Additionally, the Bidder will be exposed by virtue of the contracted activities to internal business information of SSL, the Associates, Subsidiaries and/or business partners. The Bidders agree and undertakes that they shall keep confidential all matters relating to this RFP and will not make any disclosure to any person who is under the obligation under this document, any information, data, and know-how, documents, secrets, dealings, transactions or the terms or this RFP (the “Confidential Information”). Disclosure of receipt of this RFP or any part of the aforementioned information to parties not directly involved in providing the services requested could be treated as breach of confidentiality obligations and SSL would be free to initiate any action deemed appropriate.	<b>Confidentiality</b> This document contains information confidential and proprietary to SBICAP Group. Additionally, the Bidder will be exposed by virtue of the contracted activities to internal business information of SSL, the Associates, Subsidiaries and/or business partners. The Bidders agree and undertakes that they shall keep confidential all matters relating to this RFP and will not make any disclosure to any person who is under the obligation under this document, any information, data, and know-how, documents, secrets, dealings, transactions or the terms or this RFP (the “Confidential Information”). Disclosure of receipt of this RFP or any part of the aforementioned information to parties not directly involved in providing the services requested could be treated as breach of confidentiality obligations and SBICAP Group would be free to initiate any action deemed appropriate.

			<p>The restrictions on disclosure of confidential information shall not apply to any matter which is already available in the public domain; or any disclosures made under law.</p> <p>No news release, public announcement, or any other reference to this RFP or any program there under shall be made without written consent from SSL. Reproduction of this RFP, without prior written consent of SSL, by photographic, electronic, or other means is strictly prohibited.</p>	<p>The restrictions on disclosure of confidential information shall not apply to any matter which is already available in the public domain; or any disclosures made under law.</p> <p>No news release, public announcement, or any other reference to this RFP or any program there under shall be made without written consent from SBICAP Group. Reproduction of this RFP, without prior written consent of SBICAP Group, by photographic, electronic, or other means is strictly SBICAP Group prohibited.</p>
1	10	2. RFP Process	<p><b>Non-Disclosure Agreement</b></p> <p>The shortlisted bidder will be required to sign a Non-Disclosure Agreement with SSL. The Bidder shall treat all documents, information, data, and communication of and with SSL as privileged and confidential and shall be bound by the terms and conditions of the Non-Disclosure Agreement</p>	<p><b>Non-Disclosure Agreement</b></p> <p>The shortlisted bidder will be required to sign a Non-Disclosure Agreement with SBICap Group. The Bidder shall treat all documents, information, data, and communication of and with SBICap Group as privileged and confidential and shall be bound by the terms and conditions of the Non-Disclosure Agreement</p>
1	11	2. RFP Process	<p><b>Data Protection</b></p> <p>The Bidders authorizes the release from time to time to SSL (and any of its Subsidiaries or Affiliates) all personal or professional data that is necessary or desirable for the administration of the RFP (the “Relevant Information”). Without limiting the above, the bidders permit SSL to collect, process, register and transfer to and aforementioned entities all Relevant Information. The Relevant Information will only be used in accordance with applicable law.</p>	<p><b>Data Protection</b></p> <p>The Bidders authorizes the release from time to time to SBICap Group (and any of its Subsidiaries or Affiliates) all personal or professional data that is necessary or desirable for the administration of the RFP (the “Relevant Information”). Without limiting the above, the bidders permit SBICap Group to collect, process, register and transfer to and aforementioned entities all Relevant Information. The Relevant Information will only be used in accordance with applicable law.</p>
1	11	2. RFP Process	<p><b>Intellectual Property</b></p> <p>SSL shall have sole exclusive ownership to all its Intellectual property including and not limited to its trademarks, logos etc. This RFP shall in no way be considered as a transfer or assignment of the respective rights over any intellectual property owned, developed, or being developed by SSL</p>	<p><b>Intellectual Property</b></p> <p>SBICap Group shall have sole exclusive ownership to all its Intellectual property including and not limited to its trademarks, logos etc. This RFP shall in no way be considered as a transfer or assignment of the respective rights over any intellectual property owned, developed, or being developed by SBICap Group</p>
1	11	2. RFP Process	<p><b>Arbitration</b></p> <p>All disputes and differences of any kind whatsoever shall be settled by Arbitration in accordance with the provisions of Arbitration and Conciliation Act, 1996 or any statutory amendment thereof. The dispute shall be referred to the sole arbitrator who shall be appointed by SSL. The venue of Arbitration proceedings shall be at Mumbai. The Arbitration proceedings shall be conducted in English Language. The award of the Arbitration shall be final and binding on both the Parties and shall be delivered in Mumbai in the English language.</p>	<p><b>Dispute Resolution</b></p> <p>All disputes and differences of any kind whatsoever shall be settled by mutual consent. In case the parties do not arrive at a consensus, the courts at Mumbai shall have exclusive jurisdiction to decide the dispute.</p>

			The fees of the Arbitrator and the cost of the Arbitration proceedings shall be equally borne by both the Parties.																																																															
1	17	3.8 Annexure – A1: Technical Specification and Scope of Work	SP to provide Ticketing tool – SOC operations access to SBICAP Group.						1. No ticketing tool available with SBICAP 2. Bidder may give SBICap access to their SOC ticketing tool else email-based notifications/alerts to be generated for the incidents and the dashboard to be provided. 3. If bidder will provide ticketing tool as an optional item with separate cost the same will be added in the package price																																																									
1	20	9.7 Annexure – A1: Technical Specification and Scope of Work	The proposed system should support SAN, NAS and DAS for adding external storage as and when required. The bidder is expected to size the storage as per the requirements mentioned in this RFP. The bidder ‘s response should include the calculations/ logic used to arrive at the sizing. It is to be noted that proposed hardware should be based on RAID 5. The solution should have adequate redundancy for handling disk failures.						The proposed system should support SAN, NAS, and DAS for adding external storage as and when required. The bidder is expected to size the storage as per the requirements mentioned in this RFP. The bidder ‘s response should include the calculations/ logic used to arrive at the sizing. It is to be noted that proposed hardware should be based minimum on RAID 5. The solution should have adequate redundancy for handling disk failures.																																																									
1	25	5. Annexure - B: Inventory	<table><tr><td>Company</td><td>Device count</td><td>EPS count</td></tr><tr><td>SBICAP Group</td><td>20</td><td></td></tr><tr><td>STCL</td><td>18</td><td></td></tr><tr><td>SVL</td><td>9</td><td></td></tr></table>			Company	Device count	EPS count	SBICAP Group	20		STCL	18		SVL	9					<table><tr><td>Company</td><td>Device count</td><td>User count</td><td>EPS count</td></tr><tr><td>SBICAP Group</td><td>20</td><td>400</td><td></td></tr><tr><td>STCL</td><td>19</td><td>75</td><td></td></tr><tr><td>SVL</td><td>10</td><td>75</td><td></td></tr></table>			Company	Device count	User count	EPS count	SBICAP Group	20	400		STCL	19	75		SVL	10	75																												
Company	Device count	EPS count																																																																
SBICAP Group	20																																																																	
STCL	18																																																																	
SVL	9																																																																	
Company	Device count	User count	EPS count																																																															
SBICAP Group	20	400																																																																
STCL	19	75																																																																
SVL	10	75																																																																
2	34	Annexure H Part – 2: Approx. EPS Count & Optional components, if any –	<table><tr><td>S.N.</td><td>Company</td><td>Device count</td><td>Approx. EPS count</td><td>Optional Items - 1</td><td>Optional Items-2</td></tr><tr><td>1</td><td>SBICAP</td><td>20</td><td></td><td></td><td></td></tr><tr><td>2</td><td>STCL</td><td>18</td><td></td><td></td><td></td></tr><tr><td>3</td><td>SVL</td><td>9</td><td></td><td></td><td></td></tr></table>			S.N.	Company	Device count	Approx. EPS count	Optional Items - 1	Optional Items-2	1	SBICAP	20				2	STCL	18				3	SVL	9							<table><tr><td>S.N.</td><td>Company</td><td>Device count</td><td>Approx. EPS count</td><td>Optional Items - 1</td><td>Optional Items-2</td><td>Remarks</td></tr><tr><td>1</td><td>SBICAP</td><td>20</td><td></td><td></td><td></td><td></td></tr><tr><td>2</td><td>STCL</td><td>19</td><td></td><td></td><td></td><td></td></tr><tr><td>3</td><td>SVL</td><td>10</td><td></td><td></td><td></td><td></td></tr></table>			S.N.	Company	Device count	Approx. EPS count	Optional Items - 1	Optional Items-2	Remarks	1	SBICAP	20					2	STCL	19					3	SVL	10							
S.N.	Company	Device count	Approx. EPS count	Optional Items - 1	Optional Items-2																																																													
1	SBICAP	20																																																																
2	STCL	18																																																																
3	SVL	9																																																																
S.N.	Company	Device count	Approx. EPS count	Optional Items - 1	Optional Items-2	Remarks																																																												
1	SBICAP	20																																																																
2	STCL	19																																																																
3	SVL	10																																																																
2	35	Annexure I; Part-1: SOC MSSP services	<table><tr><td>S.N.</td><td>Company</td><td>Device count</td><td>Approx. EPS</td><td>Yearly Price (excl Tax)</td><td>Any other Cost (in</td><td>Total price (in</td></tr></table>			S.N.	Company	Device count	Approx. EPS	Yearly Price (excl Tax)	Any other Cost (in	Total price (in				<table><tr><td>S.N.</td><td>Company</td><td>Device count</td><td>Yearly Price (excl</td><td>One Time / Implementation (in INR) (b)</td><td>Any other Cost</td><td>Total price (in</td></tr></table>			S.N.	Company	Device count	Yearly Price (excl	One Time / Implementation (in INR) (b)	Any other Cost	Total price (in																																									
S.N.	Company	Device count	Approx. EPS	Yearly Price (excl Tax)	Any other Cost (in	Total price (in																																																												
S.N.	Company	Device count	Yearly Price (excl	One Time / Implementation (in INR) (b)	Any other Cost	Total price (in																																																												

								(in INR) (a)	INR) (b)	INR) (a*b)				Tax) (in INR) (a)		(in INR) (c)	INR) (a+b+c)		
			1	SBICAP	20								1	SBICAP	20				
			2	STCL	18								2	STCL	19				
			3	SVL	9								3	SVL	10				
			Total Package price											Total Package price					
2	35	Annexure I; Part – 2: Approx. EPS Count & Optional components, if any –	S.N .	Compan y	Devic e count	Appro x. EPS count	Optiona l Items - 1	Optiona l Items- 2	Optiona l Items- 3	Part – 2: Optional components, if any –									
										S.N.	Company	Device count	Optional Items - 1	Optional Items-2	Remarks				
			1	SBICAP	20					1	SBICAP	20							
			2	STCL	18					2	STCL	19							
			3	SVL	9					3	SVL	10							
2	35	Annexure J; Part – 2: Approx. EPS Count & Optional components, if any –	S.N .	Compan y	Devic e count	Appro x. EPS count	Optiona l Items - 1	Optiona l Items- 2	Optiona l Items- 3	Part – 2: Optional components, if any –									
										S.N.	Company	Device count	Optional Items - 1	Optional Items-2	Remarks				
			1	SBICAP	20					1	SBICAP	20							
			2	STCL	18					2	STCL	19							
			3	SVL	9					3	SVL	10							

2	39	4. Miscellaneous	In case of any dispute, both the parties agree for neutral third-party arbitration. Such arbitrator will be jointly selected by the two parties and he/she may be an auditor, lawyer, consultant, or any other person of trust.	In case of any dispute, both the parties agree to settle by mutual consent for neutral third-party arbitration.
2	42	Agreement	SBICAP Securities Limited (SBICAP Group) - Marathon Futurex, 12th Floor, A Wing, N. M. Joshi Marg, Near Curry Road Station, Lower Parel East, Mumbai – 400013.	SBICAP Securities Limited (SSL Group) - Marathon Futurex, 12th Floor, A Wing, N. M. Joshi Marg, Near Curry Road Station, Lower Parel East, Mumbai – 400013.
2	54	Agreement	<p>19 ARBITRATION</p> <p>19.1 Any and all disputes, controversies, and conflicts ("Disputes") arising out of this Agreement or in connection with this Agreement or the performance or non- performance of the rights and obligations set forth herein, or the breach, termination, invalidity, or interpretation thereof shall be referred for arbitration in terms of the Arbitration and Conciliation Act, 1996 (Arbitration Act) or any amendments thereof. Prior to submitting the Disputes to arbitration, the parties shall make all endeavors to settle the dispute/s through mutual negotiation and discussions. In the event that the said dispute/s are not settled within 30 days of the arising thereof as evidenced through the first written communication from any party notifying the other regarding the disputes, the same shall finally be settled and determined by arbitration as above.</p> <p>19.2 The place of arbitration shall be at Mumbai and the language used in the arbitral proceedings shall be English. Arbitration shall be conducted by a mutually appointed sole arbitrator. If the Parties are unable to agree upon a sole Arbitrator, each Party shall appoint one arbitrator and the two arbitrators so appointed by the Parties shall appoint the third arbitrator, who shall be the Chairman of the Arbitral Tribunal.</p> <p>19.3 The arbitral award shall be in writing and subject to the provisions of the Arbitration and Conciliation Act, 1996 Act shall be enforceable in any court of competent jurisdiction.</p> <p>19.4 Pending the submission to arbitration and thereafter, till the Arbitrator or the Arbitral Tribunal renders the award or decision, the Parties shall, except in the event of termination of this Agreement or in the event of any interim order/award is granted under the afore stated Act, continue to perform their obligations under this Agreement.</p>	<p>19. Dispute Resolution</p> <p>All disputes and differences of any kind whatsoever shall be settled by mutual consent. In case the parties do not arrive at a consensus, the courts at Mumbai shall have exclusive jurisdiction to decide the dispute.</p>

The Bidders who have already uploaded the Technical & Commercial Bid Forms prior to this Corrigendum are requested to withdraw their Bid Forms and submit the revised Bid Forms.

Sd/-

Kalpana Shah  
Chief Information Security Officer  
SBI Capital Markets Limited,  
202, Maker Tower - E, Cuffe Parade,  
Mumbai 400 005.  
Email – [ciso@sbicaps.com](mailto:ciso@sbicaps.com)